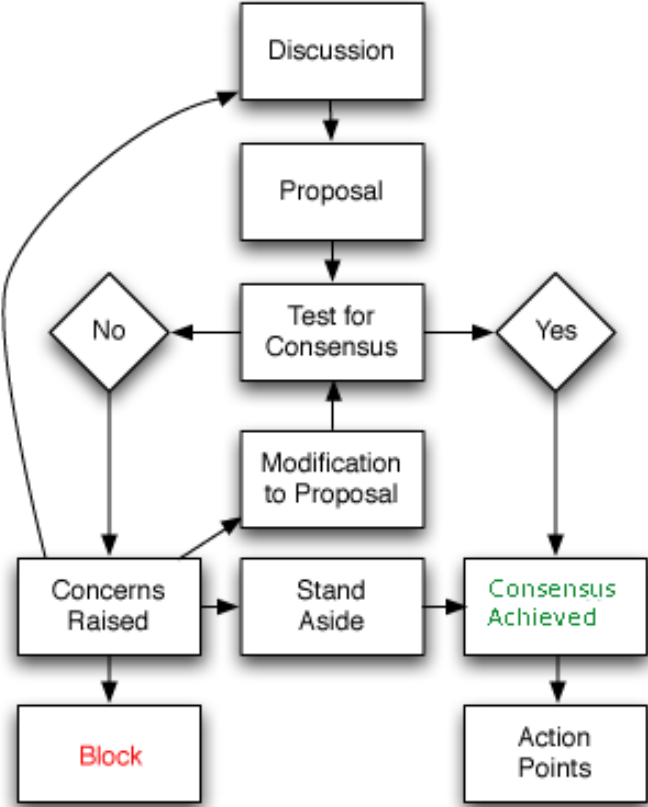


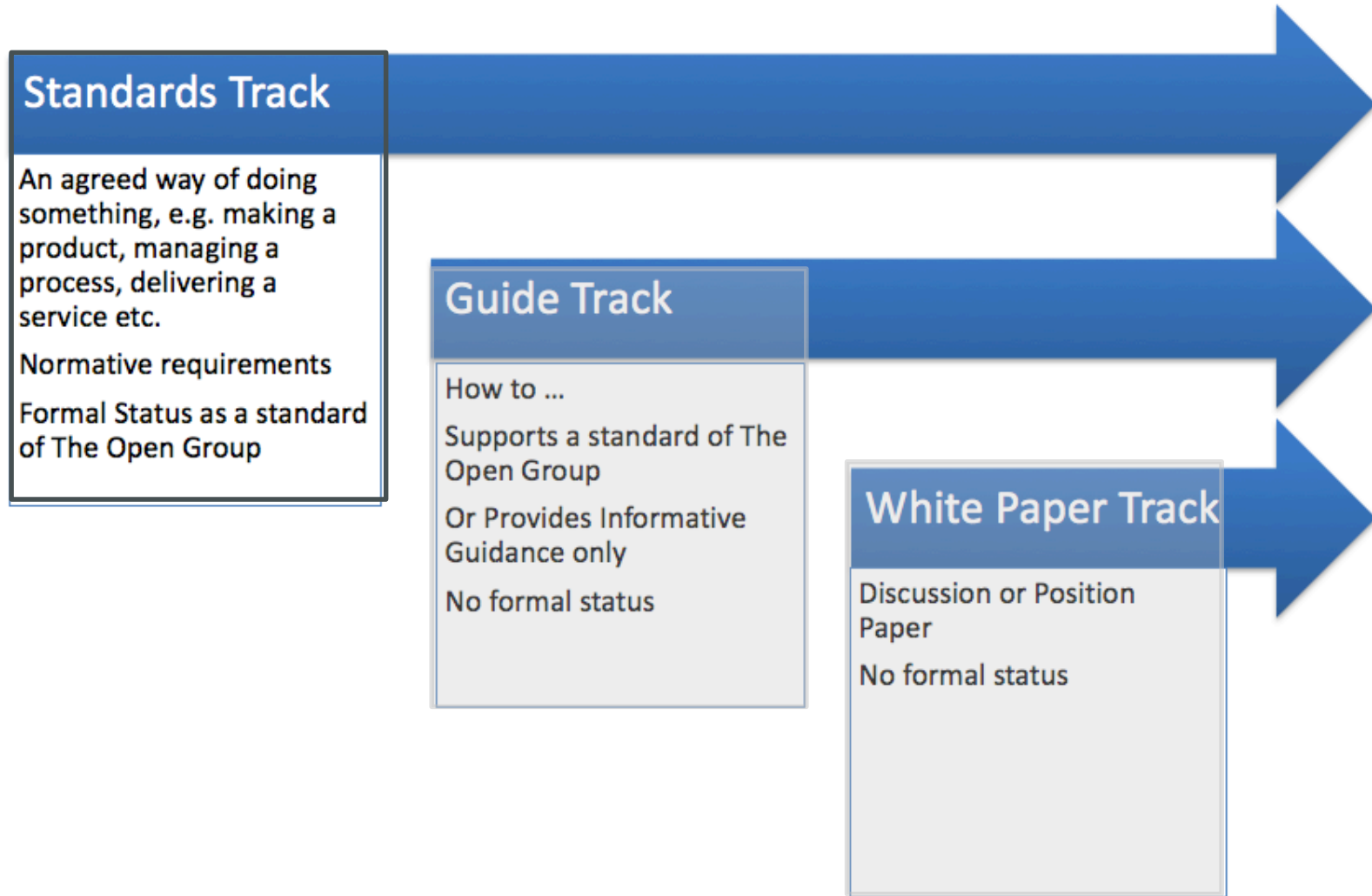
# The Open Group

*The Open Group Standards Process –  
Company Review 2020*

# The Open Group Standards Development Process – Company Review Process



# Scope of This Presentation



# Definition: A Standard of The Open Group

- » A Standard of The Open Group is:
  - 'A document established by consensus and approved through The Open Group Company Review Process that provides for common and repeated use, rules, guidelines or characteristics for activities or their results'.

# The Open Group Principles

1. Openness
2. Consensus
3. Timely and Deterministic Process
4. Public Availability of Published Specifications
5. No Legal Impediment to Implementation or Adoption
6. Confidentiality
7. Executable Standards

# Forum/Work Group Principles

1. Primacy of Principles
2. We inherit the Principles of The Open Group
3. Collaboration
4. Shared Purpose
5. Formal Process
6. Obligations of Leadership
7. Openness and Assumption of Good Intent

# Agenda

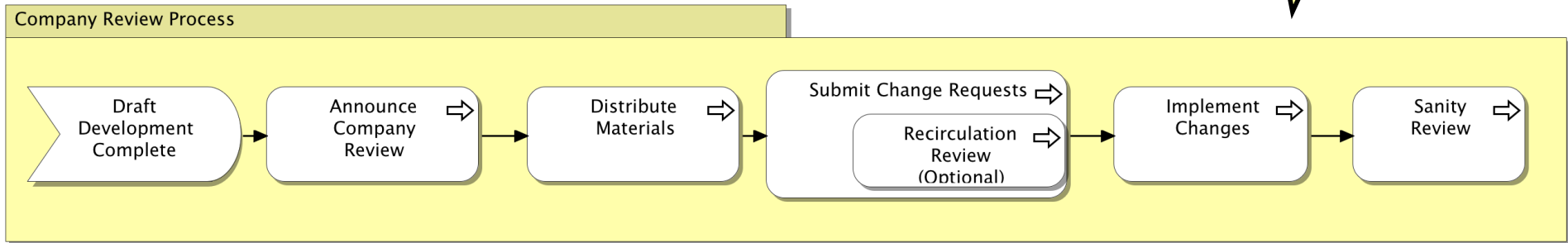
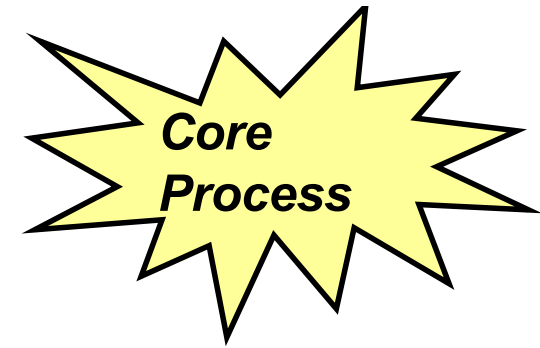
- » This presentation provides an overview of The Open Group Company Review Process – a core process within the Standards Development Process

# The Standards Development Lifecycle





# Company Review Process



## » Human Actors

- All Members
- Invited Experts
- Member Voting Representatives
- Review Group
- Ballot Group
- Governing Board
- The Open Group Executive Management
- The Open Group Manager
- Technical Editor
- Sponsor

## » Processes

- Announcement
- Distribution of Materials
- Review
- Recirculation Review (optional)
- Implement Resolutions
- Sanity Review
- Approvals Process

# Initiation Phase



- » Any Member of The Open Group is entitled to:
  - Request the initiation of a standard activity
  - Participate in the development process if they are a member of the developing Forum or Work Group
- » The staff of The Open Group will configure the infrastructure and facilitate the standards process

# Draft Development Phase



- » During this phase, the standard is developed in The Open Group house style and consensus is sought within the Forum or Work Group
- » Any member may contribute to the standard
- » The Forum or Work Group can choose to open the standard for comments to:
  - All members, invited third parties
  - Non-members (e.g. the open source community)
- » All contributions to the development process become the property of The Open Group
- » At the conclusion of the development phase, the standard becomes a draft Standard ready for Company Review

# Draft Development Process

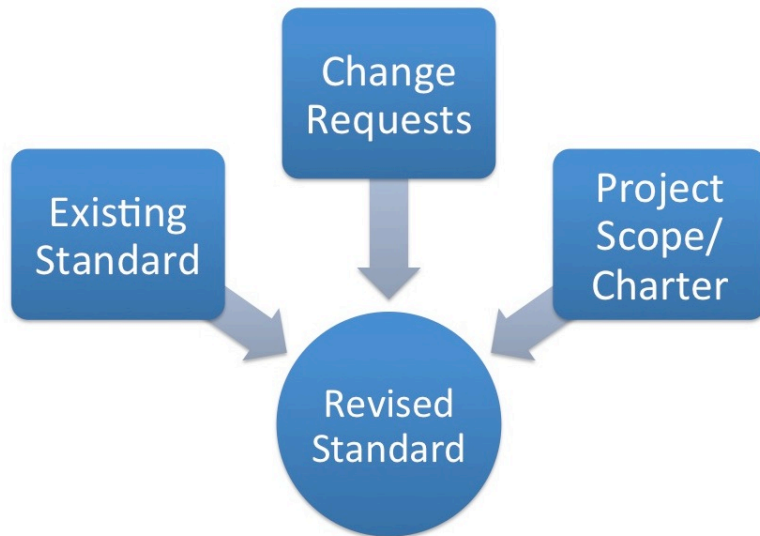


- » Exact Process depends on whether a project developing a new standard or revising an existing standard
- » A revision to an Existing Standard, could be either
  - A minor revision, by a technical corrigendum
  - A major revision

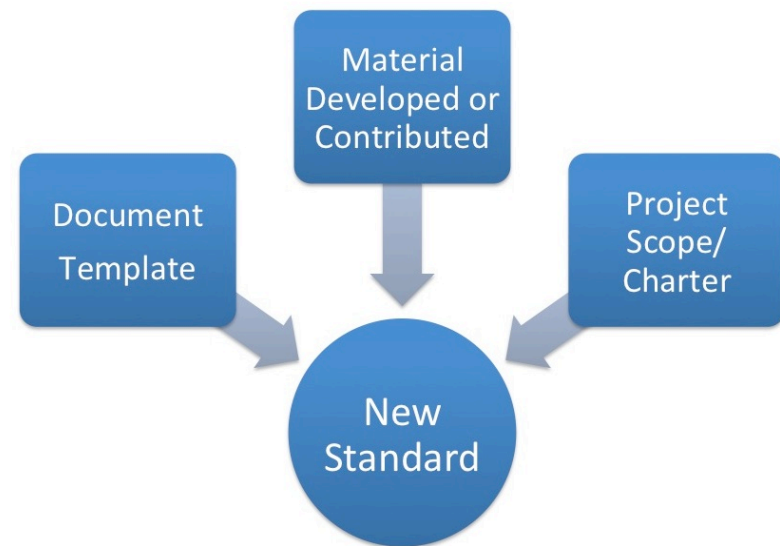
# Draft Development Phase



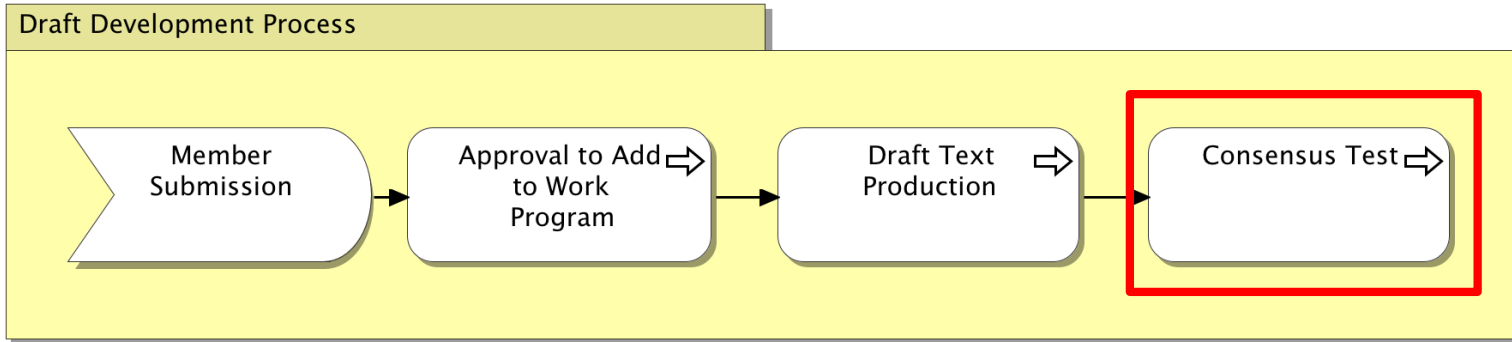
## » Revision to Existing Standard



## » Development of a New Standard



# Draft Development Process



- » To exit the Draft Development Process there must be a consensus test
- » A Forum/Work Group Review
- » See the *Handbook on Publications Development*



# Company Review



- » Company Review is conducted by the appropriate Forum or Work Group developing the standard
- » Non-Members may be invited to submit “Change Requests” as part of the Company Review process
- » The Forum or Work Group is responsible for resolving all Change Requests submitted during Company Review
  - Resolving change requests can include accepting, declining or accepting with modification
  - The defined level of consensus (75% of those voting) is required to resolve change requests

# Approval



- » The developing group must achieve the defined level of consensus during Company Review in order for the draft Standard to be submitted to the Governing Board for final approval
- » The Governing Board approval is then required (simple majority of those entitled to vote)
  - This is primarily a check on the integrity of process – not of content
  - Governing Board members owe a duty to The Open Group to act in its best interests and to protect its assets
  - If a Governing Board member believes that approval of a standard is contrary to this duty, he/she may vote against and request that a super-majority be required for approval
- » After Board Approval the draft standard enters the Publication Process



# Non-Member Participation

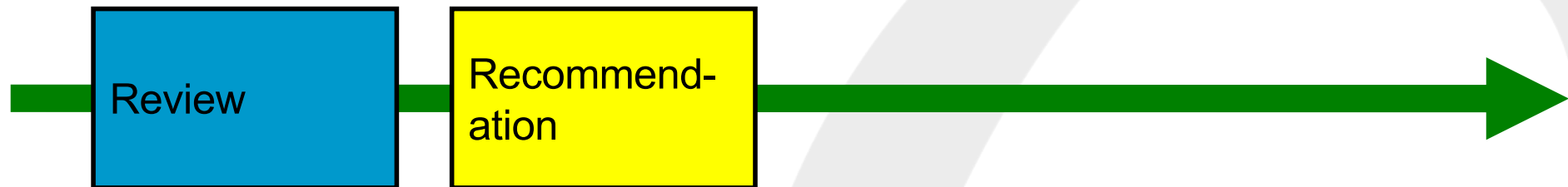
- » Non-Members may be invited to participate at any stage in the development process
- » For example, draft documents may be made available for public comment, or technical experts may be invited to present to or participate in meetings of the group developing the document
- » Non-Members may also provide comments and change requests to the Company Review process but have no vote on their resolution

# The Company Review



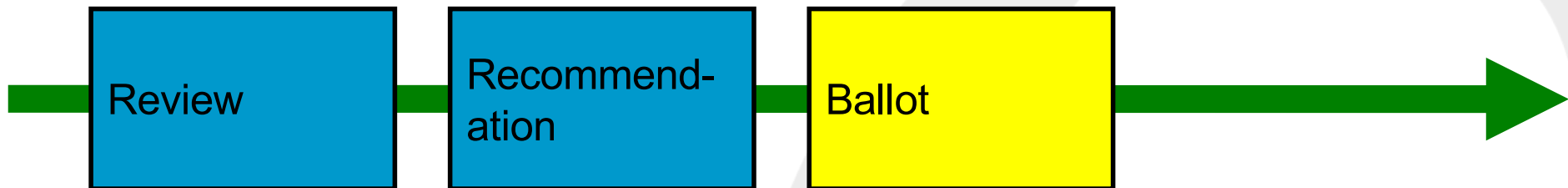
- » Review Group
  - Total membership of The Open Group
  - Other relevant groups/experts
- » “Yes if” review
  - Specific change proposals needed
  - Conducted by email
  - Typically review period is between 2 and 4 weeks

# The Company Review



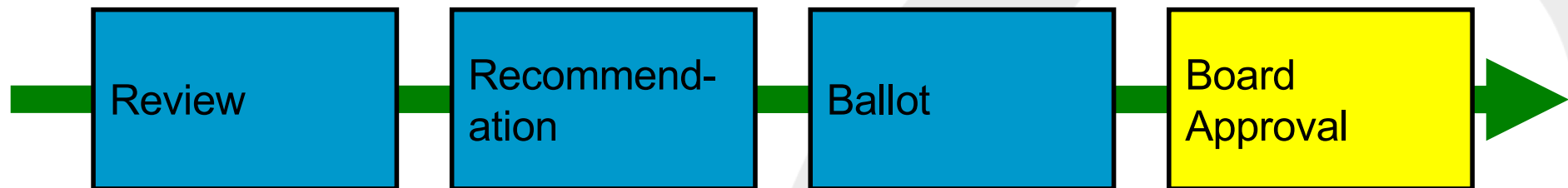
- Each specification has a Sponsor, either
  - A Forum or Work Group
  - A Governing Board Work Group
- The Sponsor reviews all Change Requests and recommends disposition

# The Company Review



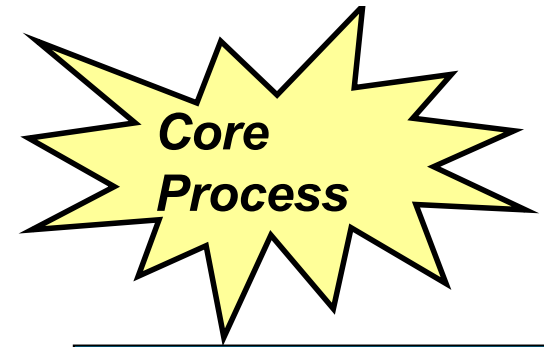
- ❑ The sponsor votes on recommended resolution of Change Proposals
  - 75% either way is decisive
  - Meeting or telecon to resolve residual issues
- ❑ If volume of changes is high, short re-circulation review is permitted

# The Company Review



- Approval by the Governing Board
  - This is primarily a check on the integrity of process – not of content
  - Governing Board members owe a duty to The Open Group to act in its best interests and to protect its assets
  - If a Governing Board member believes that approval of a standard is contrary to this duty, he/she may vote against and request that a super-majority be required for approval

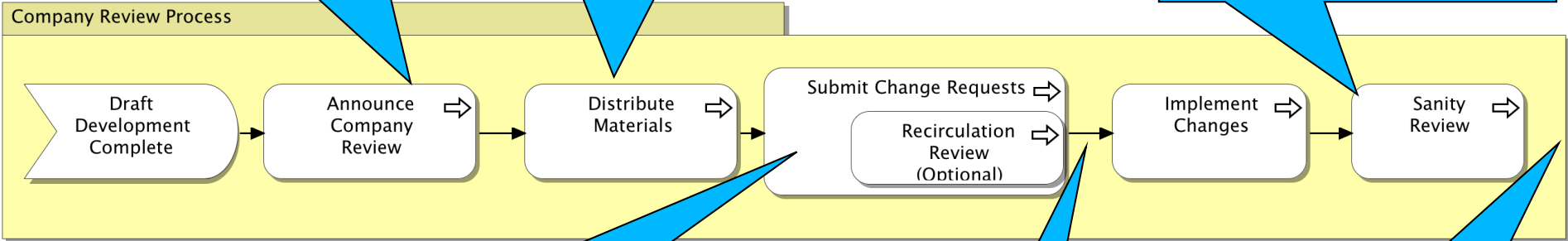
# Company Review Process



2 Weeks prior to review

Usually 1 week prior to review

2 week review



2 or 4 Week Period + recirculation if needed (2 week notice + 2 weeks review)

Ballot Resolution Usually 7 days

Governing Board vote by email or at quarterly meeting

# Process Summary

© transfer to The Open Group upon submission of contributions

Any member can participate in the development of the specification if they are a member of the developing Forum or Work Group (WG)

WG proposes resolution to all Change Requests (CR's)  
 •Accept; Decline; Accept with modification

**Request**  
*Initiation of activity*  
*Any Member*

Submissions  
 Standards Adoption Criteria  
 check

WG consensus to pass specification

**WG Consensus**

75% WG members, present & voting to approve proposed resolutions for Company Review to succeed

**Draft Standard**

**Company Review**

Specification developed in The Open Group house style

Optional Open for "Comments"  
 All members; 3<sup>rd</sup> Parties  
 Non-members

Change requests open to all members plus optionally to Non-members

**Published Standard**

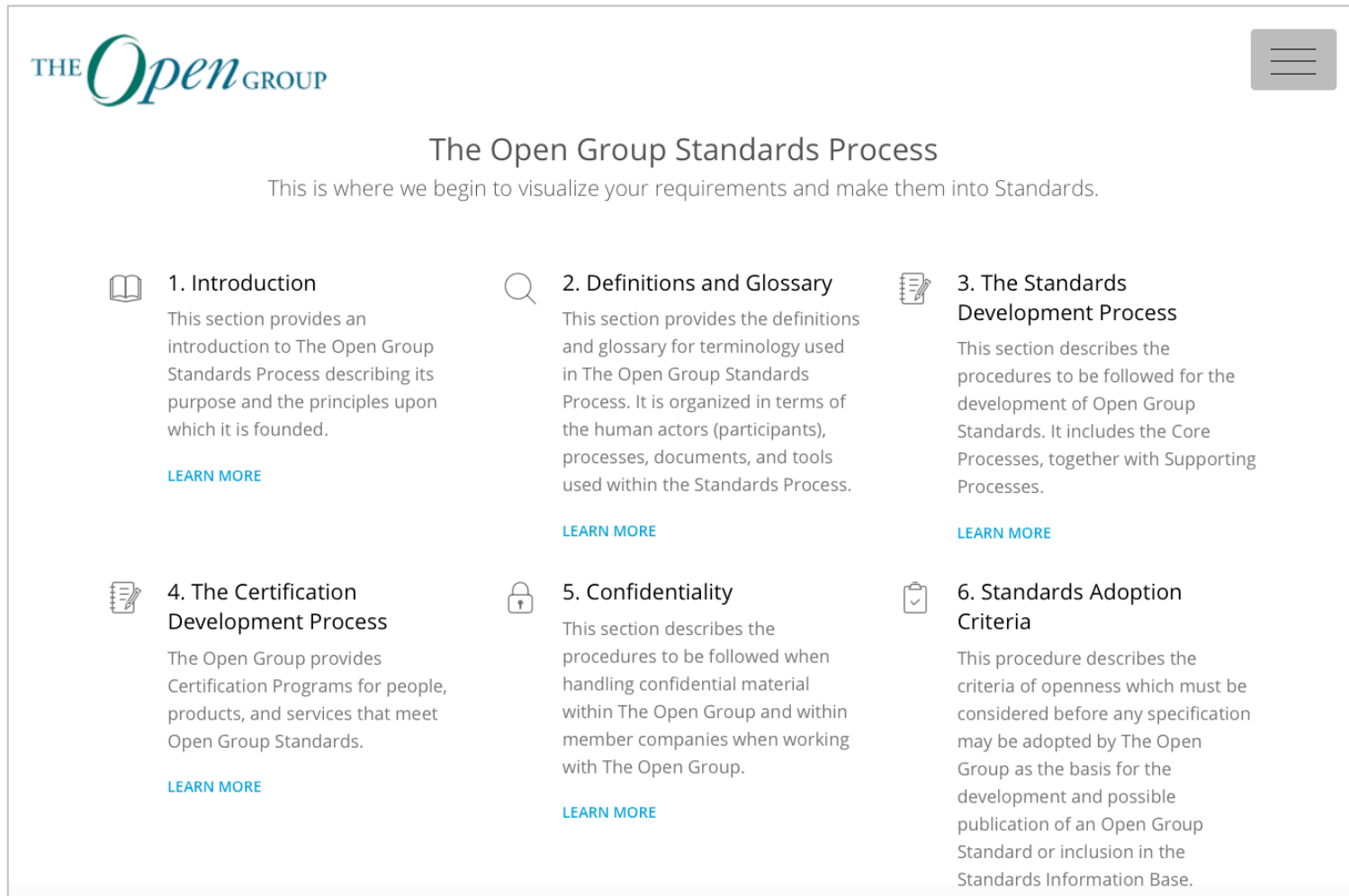
**Publication Process**

Governing Board of The Open Group  
**Approvals Process**  
 Governance check that proper process has been followed

Staff report to Governing Board

# Further Information

» <http://www.opengroup.org/standardsprocess>



The screenshot shows the 'The Open Group Standards Process' page. At the top left is the logo 'THE Open GROUP'. In the top right corner is a grey square button with three horizontal lines. The main heading is 'The Open Group Standards Process' with a sub-heading 'This is where we begin to visualize your requirements and make them into Standards.' Below this are six numbered sections, each with an icon, a title, a description, and a 'LEARN MORE' link.

**1. Introduction**  
This section provides an introduction to The Open Group Standards Process describing its purpose and the principles upon which it is founded.  
[LEARN MORE](#)

**2. Definitions and Glossary**  
This section provides the definitions and glossary for terminology used in The Open Group Standards Process. It is organized in terms of the human actors (participants), processes, documents, and tools used within the Standards Process.  
[LEARN MORE](#)

**3. The Standards Development Process**  
This section describes the procedures to be followed for the development of Open Group Standards. It includes the Core Processes, together with Supporting Processes.  
[LEARN MORE](#)

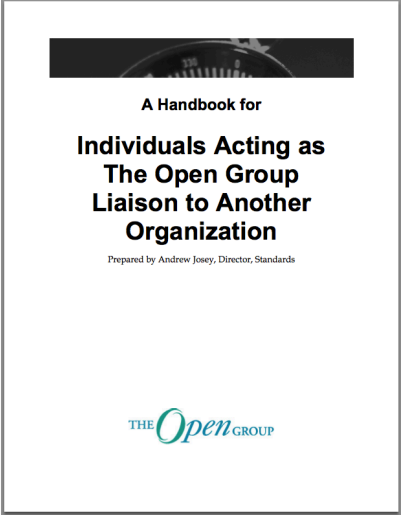
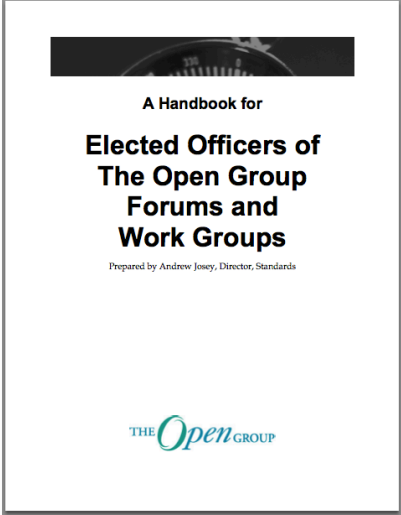
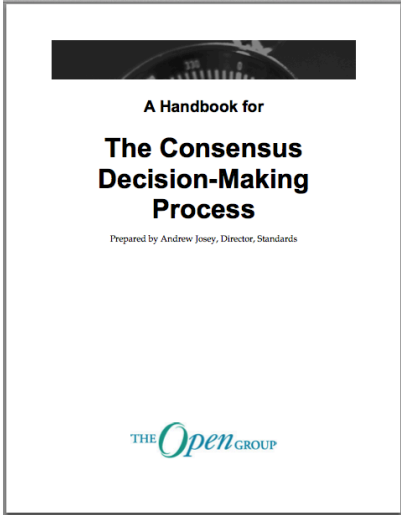
**4. The Certification Development Process**  
The Open Group provides Certification Programs for people, products, and services that meet Open Group Standards.  
[LEARN MORE](#)

**5. Confidentiality**  
This section describes the procedures to be followed when handling confidential material within The Open Group and within member companies when working with The Open Group.  
[LEARN MORE](#)

**6. Standards Adoption Criteria**  
This procedure describes the criteria of openness which must be considered before any specification may be adopted by The Open Group as the basis for the development and possible publication of an Open Group Standard or inclusion in the Standards Information Base.



# Supporting Information

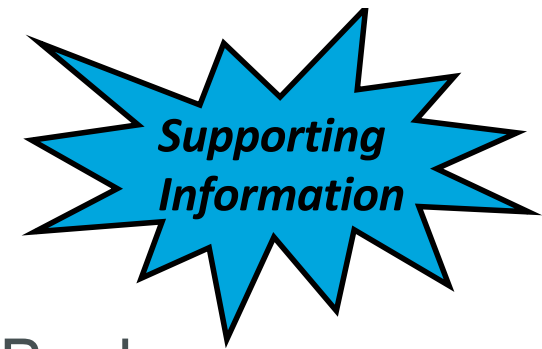


# Handbook



- » I153 - A Handbook for Publications Development:
  - The Standards Development Lifecycle
  - Developing Text for The Open Group Standards
  - The Snapshot Process
  - The Guide Process
  - The White Paper Process
  - Guidance for Reviews
  - Executable Standards
  - Resources

# Handbooks



- » Guidance Hand Books available:
  - I121 A Handbook for the Consensus Decision-Making Process
  - I122 A Handbook for Elected Officers of The Open Group Forums and Work Groups
  - I123 A Handbook for Individuals Acting as The Open Group Liaison to Another Organization

<https://publications.opengroup.org/guides/standards-process>



# Thankyou!



# Questions

